

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hartley et al.

ol. No.: 10/796,868

íled: March 10, 2004

For: **Recombinational Cloning Using**

Engineered Recombination Sites

Confirmation No.: 2652

Art Unit:

1636

Examiner:

Vogel, N.

Atty. Docket: 0942.285000K/BJD/JKM

First Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of all U.S. Patents, U.S. Published Applications, documents AA20-AI20, are not provided.

A copy of each other document is provided.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regard to non-English language documents AL20 and AM20 cited on Form PTO 1449:

Document AL20, French Patent Publication No. FR 2 670 502, is in the French language. Document AM20, PCT Patent Publication No. WO 92/10577, is in the French language. An unverified English language abstract of documents AL20 and AM20 is cited as document AS213.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, filed concurrently herewith. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

Hartley *et al.* Appl. No. 10/796,868

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jeffrey K. Mills

Agent for Applicants Registration No. 56,413

Date: October 13, 2005

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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Copies of all U.S. Patents and U.S. Published Patent Applications are not provided. Copies of documents AS114-AT114, AR115-AT115, AR116-AT116 and AR117 are not provided in accordance with the U.S. Patent and Trademark Office OG notice of October 19, 2004, which states: "the requirement in 37 C.F.R. § 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending U.S. patent application (or portion of the application which caused it to be listed) is sua sponte waived where the cited pending application is stored in the USPTO's IFW system."

A copy of each other document is provided.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regard to non-English language documents AL7 and AO7 cited on Form PTO 1449:

Document AL7, PCT Patent Publication No. WO 98/53056, is in the German language.

An unverified English language abstract of document AL7 is cited as document AS117.

Document AO7, PCT Patent Publication No. WO 99/25851, is in the Japanese language.

An unverified English language abstract of document AO7 is cited as document AT117.

The Examiner's attention is directed to the following co-pending U.S. Patent Applications, which are directed to related technical subject matter:

- U.S. Patent Application No. 09/438,358, Gerard *et al.*, filed November 12, 1999 and cited herewith as document **AS114**;
- U.S. Patent Application No. 09/517,466, Hartley *et al.*, filed March 2, 2000 and cited herewith as document **AT114**;
- U.S. Patent Application No. 09/695,065, Brasch *et al.*, filed October 25, 2000 and cited herewith as document **AR115**;
- U.S. Patent Application No. 09/984,239, Brasch *et al.*, filed October 29, 2001 and cited herewith as document **AS115**;
- U.S. Patent Application No. 10/633,690, Byrd *et al.*, filed August 5, 2003 and cited herewith as document **AT115**;
- U.S. Patent Application No. 10/877,952, Welch *et al.*, filed July 28, 2004 and cited herewith as document **AR116**;
- U.S. Patent Application No. 10/913,501, Chesnut *et al.*, filed August 9, 2004 and cited herewith as document **AS116**;
- U.S. Patent Application No. 10/970,635, Hanson, filed October 22, 2004 and cited herewith as document AT116; and

U.S. Patent Application No. 11/000,371, Chesnut *et al.*, filed December 1, 2004 and cited herewith as document **AR117**.

The identification of these U.S. Patent Applications is not to be construed as a waiver of secrecy as to these applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

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It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

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Page 1 of 15 ATTY. DOCKET NO. APPLICATION NO. 0942.285000K/BJD/JKM 10/796,868 FORM PTO-1449 APPLICANTS Hartley et al. FIRST SUPPLEMENTAL FILING DATE ART UNIT INFORMATION DISCLOSURE STATEMENT March 10, 2004 1636 **U.S. PATENT DOCUMENTS** EXAMINER SUB-CLASS FILING DATE DOCUMENT NUMBER DATE NAME CLASS INITIAL AA20 09/19/1995 Maliga et al. 08/25/1993 5,451,513 09/15/1995 AB20 6,040,138 03/21/2000 Lockhart et al. 05/29/1998 AC20 6,303,301 10/16/2001 Mack AD20 6,309,822 Fodor et al. 12/23/1996 10/30/2001 12/18/1998 E20 12/25/2001 Lockhart et al. 6,333,155 09/18/2000 AF20 6,544,790 04/08/2003 Sabatini **AG20** 2002/0162126 10/31/2002 Beach et al. 05/24/2001 Zhu et al. 09/26/2002 07/17/2003 AH20 2003/0135888 A120 2003/0175772 09/18/2003 Wang 12/26/2002 ΑJ ΑK FOREIGN PATENT DOCUMENTS **EXAMINER** TRANSLATION SUB-CLASS INITIAL DOCUMENT NUMBER DATE **COUNTRY** CLASS Yes AL20 FR 2 670 502 06/19/1992 | France No Yes 06/25/1992 WIPO WO 92/10577 AM20 No Yes AN20 WO 94/20604 09/15/1994 WIPO No Yes 05/25/2000 | WIPO AO20 WO 00/29000 No Yes AP20 WO 00/52027 09/08/2000 | WIPO No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Agah, R., et al., "Gene Recombination in Postmitotic Cells. Targeted Expression of Cre Recombinase Provokes Cardiac-restricted, Site-specific Rearrangement in Adult Ventricular 200 AR Muscle In Vivo," J. Clin. Invest. 100:169-179, The American Society for Clinical Investigation, Inc. (July 1997) Benoist, C. and Chambon, P., "In vivo sequence requirements of the SV40 early promoter AS 200 region," Nature 290:304-310, Macmillan Journals, Ltd. (1981) Botstein, D., et al., "Making Mutations In Vitro and Putting Them Back Into Yeast," in From Gene to Protein: Translation into Biotechnology, Ahmad, F., et al., eds., Academic Press, ΑŤ 200 New York, NY, pp. 265-274 (1982) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to Applicant.

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	AR	209	Genome by the	Cre Recon	N., "Targeted Insertion binase," <i>New Biol. 2</i> : rs Company (1990)				Eukaryotic
	AS	209		n site," Pr	FLP recombinase of toc. Natl. Acad. Sci. U.				
	АТ	209		2:5695-570	i, P.D., "The Cre Rec 2, The American Soci				
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	AR	210	Shirai, M., et al. Chromosome of	, "Site-Spe Streptomy	ecific Integration of the Actives parvulus upon Lysogen robiology (1991)	nophage R4			
	AS	210		i," J. Biol. (eterization of Vaccinia Virus Chem. 263:16401-16407, The. (1988)				
	AT	210	Minimal DNA	Substrate fo	Interaction of Vaccinia Viruor Strand Cleavage <i>In Vitro</i> , hemistry and Molecular Bio	"J. Biol. Che	em. 266:113°		
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		FORM	PTO 1440		ATTY. DOCKET NO. 0942.285000K/BJ		1	ICATION NO. 96,868	
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	AR	211	Duplex DNA. M	Inimal Di	e-specific Interaction NA Substrate for Stranerican Society for E	and Cleavage	In Vitr	o," J. Biol. C	Chem.
	AS	211			o terminus of the yea Acad. Sci. USA 81:59				
	AT	211	Stark, W.M., et Elsevier Science		ysis by site-specific	recombinases,	," Tren	ds Genet. 8:	432-439,
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					ATTY. DOCKET NO.	D/HZM		ICATION NO.	
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	AR	212	Ulmanen, I., et a	al., "Trans retion Vec	cription and Translati etor," <i>J. Bacteriol. 162</i>	on of Foreigr	n Gene		
	AS	212		omes," Pro	re-mediated site-speci oc. Natl. Acad. Sci. U				
	AT	212	probe plasmid v	ectors for	ruction and characterist Streptomyces using the col. Gen. Genet. 203:4	ne aminoglyco	oside p	hosphotrans	sferase gene
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	AT	213			New Class II Transportiol. 176:2835-2845, A				
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		FORM I	PTO-1449		ATTY. DOCKET NO. 0942.285000K/BJD/JKM		1CATION NO. 96,868	
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OIPE	AA1		626,505	12/02/1986		CLASS	SOB-CEASS	02/24/1984
4	АВІ	4,6	573,640	06/16/1987	7 Backman			04/30/1984
OCT 1 3 2005	AC1	4,6	583,195	07/28/1987	7 Mullis et al.			02/07/1986
* TRADEMAN (5)	ADI	4,6	583,202	07/28/1987	7 Mullis			10/25/1985
AUEMAN	AEI	4,7	743,546	05/10/1988	Backman et al.			04/29/1987
- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1- 1-	AF1	4,8	808,537	02/28/1989	Stroman et al.			10/30/1984
	AG1	4,8	355,231	08/08/1989	Stroman et al.			09/25/1985
	AH1	4,9	959,217	09/25/1990	Sanders et al.			05/22/1986
	AI1	4,9	959,317	09/25/1990	Sauer			06/17/1987
	AJI	4,9	965,188	10/23/1990	Mullis et al.			11/01/1989
	AK1	5,0)93,257	03/03/1992	2 Gray			05/26/1989
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·	ALI	EF	0 160 571	11/06/1985	5 Europe			
	AM1	EF	0 220 009	04/29/1987	7 Europe		· · · · · · · · · · · · · · · · · · ·	}
	AN1	EF	0 300 422	01/25/1989	9 Europe			,
	AO1	W	O 90/11375	10/04/1990	O WIPO			
	AP1	W	O 91/02801	03/07/199	<u>. l</u>			
		T	OTHER (Incl	uding Autho	r, Title, Date, Pertinent Pages	, etc.)		
	AR	1	DNA Topoisom	erase Activi	riophage P1 Cre-loxP Site-sports of the Cre Recombination Biochemistry and Molecular F	Protein,".	J. Biol. Cher	
	AS	1	and Properties o	f the Cre Re	., "Bacteriophage P1 Site-spe combinase Protein," <i>J. Biol.</i> d Molecular Biology (1984)			
	АТ	1			es on the Properties of P1 Site Products Following Recombi			
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	AA2	5,102,797	04/07/1992	Tucker et al.			01/19/1990
	AB2	5,159,062	10/27/1992	Knapp et al.			10/01/1990
· · · · · · · · · · · · · · · · · · ·	AC2	5,227,288	07/13/1993	Blattner			01/09/1991
	AD2	5,286,632	02/15/1994	Jones			07/16/1992
	AE2	5,334,375	08/02/1994	Nabi et al.			10/15/1993
	AF2	5,334,575	08/02/1994	Noonan et al.			12/17/1992
<u> </u>	AG2	5,348,886	09/20/1994	Lee et al.			09/04/1992
,	AH2	5,354,668	10/11/1994	Auerbach			09/29/1992
·	AI2	5,378,618	01/03/1995	Sternberg et al.			03/15/1994
- Carabac - Cara	AJ2	5,434,066	07/18/1995	Bebee et al.			12/21/1993
	AK2	5,470,727	11/28/1995	Mascarenhas et al.			01/29/1993
		F	OREIGN PAT	TENT DOCUMENTS			L
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	EP 0 427 074	05/15/1991	Europe			Yes No
	AM2	WO 91/09957	07/11/1991	WIPO			Yes No
ч.	AN2	WO 91/16427	10/31/1991	WIPO			Yes
	AO2	WO 92/15694	09/17/1992	WIPO			Yes
	AP2	WO 92/20791	11/26/1992	WIPO			Yes
		OTHER (Incl	uding Author.	Title, Date, Pertinent Pages	s, etc.)	1	
	AR	2 Required for λ F	Excisive Reco	, S., "Purification of the Bambination," <i>J. Biol. Chem.</i> lar Biology (1982)			
	AS			Recombination in <i>Escheric</i> Reaction," <i>J. Mol. Biol. 22</i>			
	AT :			ed somatic site-specific rec niversity Press (May 1997)		n in mice," <i>I</i>	Nucl. Acids
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	AA3	5,527,695	06/18/1996	Hodges et al.			02/03/1995
	AB3	5,591,609	01/07/1997	Auerbach			02/17/1994
	AC3	5,605,793	02/25/1997	Stemmer			09/26/1995
	AD3	5,614,389	03/25/1997	Auerbach			01/20/1995
	AE3	5,635,381	06/03/1997	Hooykaas et al.			06/07/1995
	AF3	5,650,308	07/22/1997	Baum			06/07/1995
	AG3	5,650,557	07/22/1997	Hannah et al.			07/27/1994
	AH3	5,654,182	08/05/1997	Wahl et al.			06/07/1995
	AI3	5,658,772	08/19/1997	Odell et al.			03/02/1994
	АЈ3	5,677,170	10/14/1997	Devine et al.			06/07/1995
	AK3	5,677,177	10/14/1997	Wahl et al.			07/29/1996
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	AL3	WO 92/22650	12/23/1992				Yes No
	AM3	EP 0 542 466	05/19/1993	Europe			Yes No
	AN3	WO 93/15191	08/05/1993	WIPO			Yes No
	AO3	WO 93/19172	09/30/1993	WIPO			Yes No
	AP3	CA 2,141,412	02/17/1994	Canada			Yes No
		OTHER (Incl	uding Author.	Title, Date, Pertinent Pages, e	etc.)		
	AR 3		cts in Mamm	e Selection of FLP-Mediated alian Cells," <i>Mol. Cell. Biol.</i>)			
	AS 3			ic Integration of DNA into with Plant J. 7:649-659, Blackwell			
	AT 3			P Recombinase of the 2μ Cir cell 40:795-803, Cell Press (19		A of Yeast: 1	Interaction
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	AA4	5,7	10,248	01/20/1998	Grose			06/07/1995
	AB4	5,7	23,765	03/03/1998	Oliver et al.			03/02/1995
. ,	AC4	5,7	28,551	03/17/1998	Devine et al.			02/01/1996
· .	AD4	5,7	33,733	03/31/1998	Auerbach			06/10/1996
	AE4	5,7	33,743	03/31/1998	Johnson et al.			03/08/1996
·	AF4	5,7	44,336	04/28/1998	Hodges et al.			02/13/1985
	AG4	5,7	66,891	06/16/1998	Shuman			12/19/1994
	AH4	5,7	76,449	07/07/1998	Baum et al.			09/20/1996
	AI4	5,8	01,030	09/01/1998	McVey et al.			09/01/1995
· · · · · · · · · · · · · · · · · · ·	AJ4	5,8	14,300	09/29/1998	Scott et al.			03/03/1995
	AK4	5,8	30,707	11/03/1998	Bushman			06/05/1995
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	AL4	wo	94/03624	02/17/1994	WIPO			Ye No
	AM4	wo	94/09127	04/28/1994	WIPO			Ye No
	AN4	wo	94/17176	08/04/1994	WIPO			Ye No
	AO4	wo	94/18333	08/18/1994	WIPO			Ye No
	AP4	W	95/00555	01/05/1995	WIPO			Yes
			OTHER (Incl	uding Author,	Title, Date, Pertinent Page	es, etc.)	·	.1
	AR	4		th Mutated Ta	tion of the FLP Recombin arget Sequences," <i>Mol. Ce</i> 86)			
-	AS	4	serial analysis of	f gene express	tification of diverse nerve sion (SAGE) profiling," P Sciences (September 2000	roc. Natl. A		
	AT	4		or stable expr	ble expression based on re ression in cultured cells," / 1998)			
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	AA5	5,837,242	11/17/1998	Holliger et al.			05/14/1996
	AB5	5,837,458	11/17/1998	Minshull et al.			05/20/1996
	AC5	5,843,772	12/01/1998	Devine et al.			11/18/1994
	AD5	5,851,808	12/22/1998	Elledge et al.			02/28/1997
	AE5	5,858,657	01/12/1999	Winter et al.			06/07/1995
	AF5	5,871,907	02/16/1999	Winter et al.			03/31/1994
	AG5	5,874,259	02/23/1999	Szybalski			11/21/1997
	AH5	5,888,732	03/30/1999	Hartley et al.			06/07/1996
	AI5	5,916,804	06/29/1999	Bushman			04/17/1998
	AJ5	5,919,676	07/06/1999	Graham et al.			06/07/1995
	AK5	5,928,914	07/27/1999	Leboulch et al.			11/05/1996
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	AL5	WO 96/04393	02/19/1996	WIPO			Ye N
	AM5	WO 96/19497	06/27/1996	WIPO			Ye N
	AN5	WO 96/30498	10/03/1996	WIPO			Ye
	AO5	WO 96/40722	12/19/1996	WIPO			Ye N
	AP5	WO 96/40724	12/19/1996	WIPO			Ye N
		OTHER (Incl	uding Author	, Title, Date, Pertinent Pages	, etc.)		
	AR	Vector Expressi	ng the Cre Re	"Site-Specific Recombinate combinase Protein: a Moleco-4606, American Society for	ular Swite	ch for Contro	ol of Gene
	AS			c Recombinase, R, Encoded ress, Inc., New York, NY (1		Plasmid pSI	R1," <i>J. Mol</i> .
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AA6 AB6 AC6 AD6 AE6 AF6 AG6 AH6	5,9 5,9 5,9 6,0 6,0	29,307 62,255 81,177 89,872 10,884 40,430	DATE 07/27/1999 10/05/1999 11/09/1999 11/22/1999 01/04/2000	NAME Hodges et al. Griffiths et al. Demirjian et al. Luo et al. Griffiths et al.	CLASS	SUB-CLASS	FILING DATE 12/20/1996 12/05/1994 01/25/1995
AB6 AC6 AD6 AE6 AF6 AG6 AH6	5,9 5,9 5,9 6,0 6,0	62,255 81,177 89,872 10,884 40,430	10/05/1999 11/09/1999 11/22/1999	Griffiths et al. Demirjian et al. Luo et al.			12/05/1994
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AD6 AE6 AF6 AG6 AH6	5,9 6,0 6,0 6,0	89,872 10,884 40,430	11/22/1999	Luo et al.			01/25/1995
AE6 AF6 AG6 AH6	6,0 6,0 6,0	10,884					
AF6 AG6 AH6	6,0	40,430	01/04/2000	Griffiths et al			08/12/1997
AG6 AH6	6,0			Grinning Cr ar.			05/29/1996
AH6		66 779	03/21/2000	Stewart			12/26/1995
	6.0	66,778	05/23/2000	Ginsburg, et al.			11/06/1996
AI6	0,0	63,627	06/16/2000	McVey et al.			02/25/1998
	6,0	80,576	06/27/2000	Zambrowicz, et al.			04/08/1998
AJ6	6,1	43,557	11/07/2000	Hartley et al.			01/20/1999
AK6	6,1	71,861	01/09/2001	Hartley et al.			01/12/1998
		F	OREIGN PAT	TENT DOCUMENTS			
	DOC	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL6	w	O 97/06265	02/20/1997	WIPO			Ye No
AM6	W	O 97/09436	03/13/1997	WIPO			Ye N
AN6 WO 97/25446		O 97/25446	07/17/1997	WIPO	-		Ye No
AO6	w	O 97/32481	09/12/1997	WIPO			Ye N
AP6	W	O 98/10086	03/12/1998	WIPO			Ye No
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AR AS	<u>6</u>	attachment site of the site of	of Escherichia	method for integration of plasmids pBR322 and tion, John Wiley & Sons,	Elsevier Scie	ence (1991) Short Protoc	ols in
AT	6	(1995) Ayres, E.K., et a Excision (VEX)	ul., "Precise D The trfA Gen	eletions in Large Bacteria	al Genomes d RK2 is Ess 85, Academ	by Vector-m sential for Re ic Press (199	ediated eplication in
			(1995) Ayres, E.K., et a Excision (VEX)	(1995) Ayres, E.K., et al., "Precise D Excision (VEX) The trfA Gen	Ayres, E.K., et al., "Precise Deletions in Large Bacteria Excision (VEX) The trfA Gene of Promiscuous Plasmic	Ayres, E.K., et al., "Precise Deletions in Large Bacterial Genomes Excision (VEX) The trfA Gene of Promiscuous Plasmid RK2 is Ess Several Gram-negative Hosts," J. Mol. Biol. 230:174-185, Academs	(1995) Ayres, E.K., et al., "Precise Deletions in Large Bacterial Genomes by Vector-m

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	AA7	6,225,121	05/01/2001	Savakis, C. et al.			04/27/1998
	AB7	6,262,341	07/17/2001	Baszczynski et al.			11/17/1998
	AC7	6,270,969	08/07/2001	Hartley et al.			01/20/1999
	AD7	6,271,436	08/07/2001	Piedrahita et al.			10/10/1997
·	AE7	6,277,608	08/21/2001	Hartley et al.			04/22/1999
	AF7	2002/0007051	01/17/2002	Cheo et al.			12/11/2000
	AG7	6,361,972	03/26/2002	Harrington et al.			01/10/2000
	AH7	6,365,377	04/02/2002	Patten et al.			03/03/2000
·	AI7	2002/0068290	06/06/2002	Yarovinsky			05/31/2001
	AJ7	6,410,266	06/25/2002	Harrington et al.			01/07/2000
	AK7	6,410,317	06/25/2002	Farmer			07/14/2000
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	AL7	WO 98/53056	11/26/1998	WIPO			X N
	AM7	WO 99/10488	03/04/1999	WIPO			Ye
*	AN7 WO 99/21977		05/06/1999	WIPO			Ye
	AO7	WO 99/25851	05/27/1999	WIPO			X N
	AP7	WO 99/55851	11/04/1999	WIPO			Ye
		OTHER (Inc	luding Author	Title, Date, Pertinent Pages	s, etc.)	_L	
	AR	, ,		P Protein of the 2-micron P Society for Biochemistry an		•	
	AS			Synchronous Site-Specific I Lology 2:1045-1049 (1984)	Recombina	ation <i>In Vivo</i>	to Regulate
	AT			ts Cell Cycle Regulators to Box," Cell 86:263-274, Ce			sis Machiner

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	AA8	200	02/0094574	07/18/2002	Hartley et al.			05/16/2001
	AB8	200	02/0106797	08/08/2002	Miles et al.			03/12/2001
	AC8	6,4	36,707	08/20/2002	Zambrowicz et al.			03/25/1999
	AD8	200	02/0172997	11/21/2002	Hartley et al.			07/19/2001
	AE8	200	02/0182731	12/05/2002	Ji et al.			01/25/2002
·	AF8	200	02/0192819	12/19/2002	Hartley et al.			07/19/2001
	AG8	200	03/0027289	02/06/2003	Farmer			04/05/2002
	AH8	200	03/0027337	02/06/2003	Droge et al.			02/25/2002
	AI8	200	03/0054552	03/20/2003	Hartley et al.			01/30/2002
	AJ8	200	03/0054555	03/20/2003	Farmer et al.			09/17/2002
	AK8	200	03/0059900	03/27/2003	Farmer			01/17/2002
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EXAMINER INITIAL		DO	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8	W	O 00/12687	03/09/2000	WIPO			Yes No
	AM8	EP	1 035 208	09/13/2000	Europe			Yes No
	AN8	AN8 WO 00/60091		10/12/2000	WIPO			Yes No
	AO8	W	O 01/05961	01/25/2001	WIPO			Yes No
	AP8	W	O 01/07572	02/01/2001	WIPO			Yes No
			OTHER (Incl	uding Author.	Title, Date, Pertinent Pages,	etc.)		
	AR	<u>&</u>		rearrangemen	one cassette for adapting Esc at and p_L expression vectors,			
-	AS	8		equires the Fig	, "Efficient Excision of Phag s Protein," <i>J. Bacteriol. 173</i> :			
	АТ	<u>8</u>	Barnes, G., and Rine, J., "Regulated expression of endonuclease <i>Eco</i> RI in <i>Saccharomyces cerevisiae</i> : Nuclear entry and biological consequences," <i>Proc. Natl. Acad. Sci. USA 82</i> : 1354-1358, National Academy of Sciences (1985)					
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	AA9	200	03/0064515	04/03/2003	Hartley et al.			01/30/2002
	AB9	200	03/0068799	04/10/2003	Hartley et al.			06/06/2002
	AC9	200	03/0077804	04/24/2003	Byrd et al.			04/19/2002
·	AD9	200	03/0100110	05/29/2003	Hartley et al.			11/02/1999
<u> </u>	AE9	200	03/0124555	07/03/2003	Brasch et al.			05/21/2002
	AF9	200	03/0153055	08/14/2003	Miles et al.			02/20/2003
·	AG9	200	03/0157662	08/21/2003	Gerard et al.			11/13/2002
	AH9	200	03/0157716	08/21/2003	Hartley et al.			11/02/2001
	A19	200	03/0175970	09/18/2003	Hartley et al.			11/21/2002
	AJ9	200	03/0176644	09/18/2003	Byrd et al.		·	02/07/2002
	AK9	200	03/0186233	10/02/2003	Chesnut et al.			12/07/2001
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	AL9	w	O 01/11058	02/15/2001	WIPO			Yes No
	AM9	W	O 01/31039	05/03/2001	WIPO			Yes No
	AN9	W	O 01/62892	08/30/2001	WIPO			Yes No
	AO9	W	O 02/00875	01/03/2002	WIPO			Yes
	AP9	W	O 02/05294	01/17/2002	WIPO			Yes
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	AS	9		ombination sy	ge of gene activity in transge stem," <i>Plant Mol. Biol. 18</i> :3			
·	АТ	9		IA-topoisome	., "Cell Killing by the F plaserase II Complexes," J. Mol.			
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	AA10	200	03/0220249	11/27/2003	Hackett et al.			02/07/2003
_	AB10	200	04/0040053	02/26/2004	Nomura et al.			11/22/2002
	AC10	200	04/0053412	03/18/2004	Hartley et al.			03/26/2003
	AD10	200	04/0063207	04/01/2004	Hartley et al.			10/08/2003
	AE10	6,7	20,140	04/13/2004	Hartley et al.			02/04/2000
	AF10	200	04/0171156	09/02/2004	Hartley et al.			04/02/2004
	AG10	200	04/0171157	09/02/2004	Hartley et al.			04/08/2004
	AH10	200	04/0219516	11/04/2004	Bennett et al.			07/18/2003
· · · · · · · · · · · · · · · · · · ·	AI10	200	04/0219673	11/04/2004	Hartley et al.			03/10/2004
	AJ10	200	04/0229229	11/18/2004	Cheo et al.			08/14/2003
	AK10	200	04/0253631	12/16/2004	Hartley et al.			07/19/2004
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EXAMINER INITIAL		DOG	CUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10	W	O 02/08391	01/31/2002	WIPO			Yes No
	AM10	W	O 02/16594	02/28/2002	WIPO			Yes No
	ANIO WO 02/061034	08/08/2002	WIPO			Yes No		
	AO10 WO 03/025161		03/27/2003	WIPO			Yes No	
	AP10	W	O 03/103600	12/18/2003	WIPO			Yes No
			OTHER (Incl	uding Author	, Title, Date, Pertinent P	ages, etc.)		
	AR	<u>10</u>	Bernard, P., "Po Eaton Publishin		on of Recombinant DN.	A by CcdB," E	BioTechnique	es 21:320-323
	AS	<u>10</u>			smid CcdB Protein Indu Biol. 234:534-541, Aca			ent DNA
	АТ	<u>10</u>	Bernard, P., et a 148: 71-74, Else		election of vectors usin (1994)	g the F plasmi	d <i>ccd</i> B kille	r gene," Gene
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	AA11		2004/0265863	12/30/2004	Chesnut et al.			03/04/2004	
	AB11	1	2005/0009091	01/13/2005	Hartley et al.			08/19/2004	
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	AL							Yes No	
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	AP							Yes No	
·	J	1_	OTHER (Incl	⊔ uding Author.	Title, Date, Pertinent Pages,	etc.)		1 100	
	AR	11	genotoxic stress	Bethke, B., and Sauer, B., "Segmental genomic replacement by Cre-mediated recombination: genotoxic stress activation of the p53 promoter in single-copy transformants," <i>Nucl. Acids Res.</i> 25:2828-2834, Oxford University Press (1997)					
	AS	11		Betz, U.A.K., et al., "Bypass of lethality with mosaic mice generated by Cre-loxP-mediated recombination," Curr. Biol. 6:1307-1316, Current Biology Ltd. (October 1996)					
	AT	11	overproduction of	Bhandari, P., and Gowrishankar, J., "An <i>Escherichia coli</i> host strain useful for efficient overproduction of cloned gene products with NaCl as the inducer," <i>J. Bacteriol</i> 179:4403-4406, American Society for Microbiology (July 1997)					
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	AR	12	Black, L.W., "Ir	Black, L.W., "In vitro packaging into phage T4 particles and specific recircularization of phage lambda DNAs," <i>Gene 46</i> :97-101, Elsevier Science (1986)						
	AS	12	Bloch, C.A., et a	Bloch, C.A., et al., "Purification of Escherichia coli Chromosomal Segments without Cloning," Biochem. Biophys. Res. Comm. 223:104-111, Academic Press, Inc. (1996)						
	АТ	<u>12</u>		Bochner, B.R., et al., "Positive Selection for Loss of Tetracycline Resistance," J. Bacteriol. 143:926-933, American Society for Microbiology (1980)						
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	AN							Yes No
	AO							Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)								
	AR	<u>13</u>	Boshart, M, et al., "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," Cell 41:521-530, MIT (1985)					
	AS	13	Bouhassira, E.E., et al., "Transcriptional Behavior of LCR Enhancer Elements Integrated at the Same Chromosomal Locus by Recombinase-Mediated Cassette Exchange," Blood 90:3332-3344, The American Society of Hematology (November 1997)					
	АТ	<u>13</u>	Boyd, A.C., "Turbo cloning: a fast, efficient method for cloning PCR products and other blunt-ended DNA fragments into plasmids," <i>Nucl. Acids Res. 21</i> :817-821, Oxford University Press (1993)					
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	AO							No Yes
	AP					 -		No Yes
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	AR	14	Brent, R., and Ptashne, M., "A bacterial repressor protein or a yeast transcriptional terminator					
	AS	<u>14</u>	Broach, J. R., et al., "Recombination within the Yeast Plasmid 2μ Circle is Site-Specific," Cell 29:227-234, Cell Press (1982)					
	AT	<u>14</u>	Bruckner, R.C., and Cox, M.M., "The Histone-like H Protein of Escherichia coli is a ribosomal protein s3," Nucl. Acids Res. 17:3145-3161 (1989)					
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	AR	<u>15</u>	Brunelli, J.P., ar	nd Pall, M.L.	, "Lambda/Plasmid Vecto BioTechniques 16:1061-10	or Construction			
	AS	<u>15</u>	Designed for Di	Brunelli, J.P. and Pall, M.L., "A Series of Yeast/Escherichia coli λ Expression Vectors Designed for Directional Cloning of cDNAs and cre/lox-Mediated Plasmid Excision," Yeas 9:1309-1318, John Wiley (1993)					
	АТ	<u>15</u>			oning by homologous reco ersity Press (1993)	ombination <i>in</i>	vivo," Nucl	Acids Res.	
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	AR	18	Combinatorial I	Chanock, R.M., et al., "Human Monoclonal Antibody Fab Fragments Cloned from Combinatorial Libraries: Potential Usefulness in Prevention and/or Treatment of Major Human Viral Diseases," <i>Infect. Agents Dis.</i> 2:118-131, Raven Press (1993)						
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	AR	19	Cherepanov, P.I	o., and Wa	ckernagel, W., "Gene f Flp-catalyzed excisi	disruption in Es	sche	richia coli: resistance d	Tc ^R and Km ^R eterminant,"
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	AS	26	Datson, N.A., et expression in lin Press (March 19	analysis of g 1307, Oxfor	ene d University			
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	AS	<u>27</u>		Degryse, E., "In vivo intermolecular recombination in <i>Escherichia coli</i> : application to plasmid constructions," <i>Gene 170</i> :45-50, Elsevier Science (1996)					
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	AS	28	targets in vitro:	Devine, S.E., and Boeke, J.D., "Efficient integration of artificial transposons into plasm targets <i>in vitro</i> : a useful tool for DNA mapping, sequencing and genetic analysis," <i>Nucl Acids Res.</i> 22:3765-3772, Oxford University Press (September 1994)					
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	AR	58	Landy, A., "Dyr	namic, Str	uctural, and Regulator 13-949, Annual Revie	y Aspects of		Specific Re	combination,"
	AS	58			expression of an Escher," Mol. Gen. Genet. 1				
	АТ	58			ntions That Improve th 393-400, Genetics Soc				binase to Its
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	ATTY. DOCKET NO.	APPLICATION NO.
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	AR	<u>59</u>		ophage λ H'	nalysis of <i>Escherichia c</i> Recognition Site," <i>J. Bo</i>			
	AS	59			of Nucleotide Sequence 55-65, Elsevier Science		er Region in	Cre-mediated
	АТ	59	vectors for Myc	obacterium s	fic integration of mycol megmatis, Mycobacteri ci. USA 88:3111-3115,	um tuberculosi.	s, and bacille	e Calmette-
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	AR	60		Bacterial	tic Analysis of a P Fitness," <i>J. Bacter</i>				
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	AR	61		vel Anti-Th	of Combinatorial Lilrombotic Drugs," <i>Thi</i> aft mbH (1995)				
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	AR	62			ecific Recombination <i>Biol. 296</i> :1175-1181				
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	AS	<u>64</u>			and other <i>Bacillus thur</i> r Academic (November		sable elemer	nts: a review,
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	AR	<u>65</u>	Malynn, B.A., et al., "The scid Defect Affects the Final Step of the Immunoglobulin VDJ Recombinase Mechanism," Cell 54:453-460, Cell Press (1988)							
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	AR	<u>66</u>	Matsuzaki, H., e Site-Specific Re	Matsuzaki, H., et al., "Chromosome Engineering in Saccharomyces cerevisiae by Using a Site-Specific Recombination System of a Yeast Plasmid," J. Bacteriol. 172:610-618, American Society for Microbiology (1990)						
	AS	<u>66</u>			acombe, R., "Prokaryo ads Genet. 10:402-407,					
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	AR	<u>67</u>	Mendiola, M.W Present in α-hae	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Mendiola, M.W., and de la Cruz, F., "Specificity of Insertion of IS91, an Insertion Sequence Present in α-haemolysis Plasmids of Escherichia coli," Mol. Microbiol. 3:979-984, Blackwell Scientific Publications (1989)						
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	AR	<u>68</u>		Mette, M.F., et al., "Transcriptional silencing and promoter methylation triggered by double-stranded RNA," EMBO J. 19:5194-5201, European Molecular Biology Organization						
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	AR	70	Mizuuchi, M., a bacterial attachr	Mizuuchi, M., and Mizuuchi, K., "The extent of DNA sequence required for a functional bacterial attachment site of phage lambda," <i>Nucl. Acids Res.</i> 13:1193-1208, Oxford University Press (1985)					
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	AR	71	Previously unre	Murayama, N., et al. "Evidence for Involvement of Escherichia coli Genes pmbA, csrA and a Previously unrecognized Gene tldD, in the Control of DNA Gyrase by letD (ccdB) of Sex Factor F," J. Mol. Biol. 256:483-502, Academic Press Limited (March 1, 1996)					
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	ATTY. DOCKET NO.	APPLICATION NO.
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	AR	73	Nash, H.A., and specific recomb Oxford Univers	ination: cl	n, C.A., "Heteroduple eavage and strand tra 1989)	ex substrates for bainsfer products," EM	cteriophage I ABO J. 8:352	ambda site- 23-3533,		
	AS	73	Evidence agains	Nash, H.A., et al., "Role of homology in site-specific recombination of bacteriophage λ: Evidence against joining of cohesive ends," <i>Proc. Natl. Acad. Sci. USA 84</i> :4049-4053, National Academy of Sciences (1987)						
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	ATTY. DOCKET NO.	APPLICATION NO.
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	AR	74	Nomura, M., et	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Nomura, M., et al., "Regulation of the Synthesis of Ribosomes and Ribosomal Components," Ann. Rev. Biochem. 53:75-117, Annual Reviews, Inc. (1984)						
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	AR	75	Nunes-Düby, S.	Nunes-Düby, S.E., et al., "Similarities and differences among 105 members of the Int family of site-specific recombinases," <i>Nucl. Acids Res.</i> 26:391-406, Oxford University Press (1998)						
	AS	75	Minimal Proteir	Nunes-Düby, S.E., <i>et al.</i> , "Half- <i>att</i> Site Substrates Reveal the Homology Independence and Minimal Protein Requirements for Productive Synapsis in λ Excisive Recombination," <i>Cell</i> 59:197-206, Cell Press (1989)						
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	AS	<u>76</u>	Contributing to	O'Gara, J.P., et al., "Identification and Molecular Genetic Analysis of Multiple Loci Contributing to High-Level Tellurite Resistance in <i>Rhodobacter sphaeroides</i> 2.4.1," <i>Environ. Microbiol.</i> 63:4713-4720, American Society for Microbiology (1997)					
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	ATTY. DOCKET NO.	APPLICATION NO.
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	AS	77		Oliner, J.D., et al., "In vivo cloning of PCR products in E. coli," Nucl. Acids 5197, Oxford University Press (1993)					
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	AT	79	Recombinases a	ınd Topois	f Synthetic Activated omerase I," J. Biol. (ar Biology (1993)				
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	AR	80	Metabolism thro	ough an orth	ng of Quasi-Natural <i>Pseudomo</i> to-Cleavage Degradation Pathvety for Microbiology (1998)				
	AS	80	Parks, R.J., and Graham, F.L., "A Helper-Dependent System for Adenovirus Vector Production Helps Define a Lower Limit for Efficient DNA Packaging," <i>J. Virol.</i> 71:3293-3298, American Society For Microbiology (1997)						
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		ATTY. DOCKET NO.	APPLICATION NO.
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	AR	81	Peakman, T. C.,	et al., "High	ly efficient generation of recorderific in vitro recombination	mbinant			
	AS	81		Peredelchuk, M.Y., and Bennett, G.N., "A method for construction of <i>E. coli</i> strains with multiple DNA insertions in the chromosome," <i>Gene 187</i> :231-238, Elsevier Science (199					
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	AR	86	Reed, R.R., and	Grindley, N avage and F	J.D., "Transposon-M Protein-DNA Linkage	ediated Site	-Specif		
	AS	86	Reed, R.R., "Transposon-Mediated Site-Specific Recombination: A Defined in Vitr System," Cell 25:713-719, Cell Press (1981)						Vitro
	AT	86			of Attachment Sites d DNA by a Protein-				
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	AT	87			tion-based cloning sy 10, International Sci			
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	ATTY. DOCKET NO.	APPLICATION NO.
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	AR	88			ombinase of the 2-microns places. Mol. Biol. 51:53-91, Ac			/ces
	AS	88	Sadowski, P., "Site-Specific Recombinases: Changing Partners and Doing the Twist," J. Bacteriol. 165:341-347, American Society for Microbiology (1986)				`wist," <i>J</i> .	
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	AR	<u>89</u>	Sandhu, J.S., "Pr Press, Inc. (1992		neering of Antibodies,"	Crit. Rev. Bio	technol. 12:43	7-462, CRC
	AS	<u>89</u>		gous to a Sit	istron of <i>Bacillus subtili</i> te-Specific Recombinas biology (1990)			
	AT	<u>89</u>		nyces cerev	ession of the <i>cre-lox</i> Site isiae," Mol. Cell. Biol. 7			
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Sauer, B., "Inducible gene targeting in mice using the Cre/lox system," <i>Methods 14</i> :381-392 Academic Press (April 1998)
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	Schild, D., et al., "Cloning of Three Human Multifunction de novo Purine B Genes by Functional Complementation of Yeast Mutations," Proc. Natl. Acce 87:2916-2920, National Academy of Sciences (1990)							
	AT	93	specific recomb	ination (IGS	ke, H.J., "Efficient co SSR) of PAC fragmen Nucl. Acids Res. 25:2	nts containing α s	atellite DNA	and the
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	АТ	94	Scott, S.D., and gene therapy vec	Marples, l	B., "Comment on the us ne Therapy 7:1706, Mad	se of the cre/loxP cmillan Publisher	recombinase s Ltd. (2000)	system for		
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	AS	<u>96</u>		Sheffield, P., et al., "Overcoming expression and purification problems of RhoGDI using family of "parallel" expression vectors," <i>Protein Expr. Purif.</i> 15:34-39, Academic Presentation (1999)						
	AT	<u>96</u>	Clathrin-Associa	ated Protein	nd Redundant Functions in Complex in the Nema can Society for Biology	itode Caenorhaba				
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	AS	97	Vaccinia DNA	Shuman, S., "Novel Approach to Molecular Cloning and Polynucleotide Synthesis Using Vaccinia DNA Topoisomerase," <i>J. Biol. Chem. 269</i> :32678-32684, American Society for Biochemistry and Molecular Biology, Inc. (1994)					
	АТ	97		NA Sequenc	matic Subcellular Loca ing," <i>EMBO Rep. 1</i> :28				
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	AS	<u>98</u>			tative analysis of Tn10 Acids Res. 18:2875-28				
	AT	<u>98</u>			ction and Characteriza 05, American Society			peron," <i>Appl</i> .	
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	AR	99	Smith, A.J.H., e	t al., "A site	e-directed chromosomal ation," <i>Nat. Genet.</i> 9:37	translocation in			
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	AS	100	Stark, W. M., et in the Forward a	al., "Site-s _j and Reverse	pecific Recombination by Reactions," <i>Cell 58:779</i>	y TN3 Resolva -790, Cell Pres	se: Topologi ss (1989)	ical Changes
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	AR	101	Stellwagen, A.B	., and Craig, molecular sv	N.L., "Mobile DNA eleme witches," <i>Trends Biochem</i> .	ents: control			
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	AR	102		t al., "Bac	eteriophage P1 <i>cre</i> Go		ntory]	Region," J	. Mol. Biol.
	AS	102	Sternberg, N., e Bacteriophage I Laboratory Pres	P1," Cold	e-specific Recombina Spring Harbor Symp	ation and Its Role Output Discrete Control in the 297-3	Life Cycl	e of Spring Harbor	
	AT	<u>102</u>			construction in yeast e," <i>Nucl. Acids Res.</i> .				
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FORM PTO-1449	0942.285000K/BJD/JKM	10/796,868
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	ATTY. DOCKET NO.	APPLICATION NO.
FORM PTO-1449	0942.285000K/BJD/JKM	10/796,868
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	ATTY. DOCKET NO.	APPLICATION NO.
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INFORMATION DISCLOSURE STATEMENT	Hartley et al.	
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	AR	115	U.S. Patent App (NOT PUBLISH		09/695,065, Brasch et	t al., filed Oct	ober	25, 2000	
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	AR	<u>116</u>	U.S. Patent App (NOT PUBLISH		10/877,952, Welch <i>et al</i> ., file	d July 28	, 2004	
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	AR	117	U.S. Patent App (NOT PUBLISI		11/000,371, Chesnut	et al., filed Dec	cember 1, 200	4
	AS	117			orld Patent Index, unvoiced (Document AL7),			
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	AR	<u>119</u>	Öffice Action fo	or U.S. Pater	nt Application No. 09/4	32,085, mailed o	on July 16, 2	004
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